

## AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Currently Amended) A method for monitoring financial exposure in an entity having a plurality of operating units, the method comprising:

gathering information about each of said operating units including at least one product identifier ~~for~~ associated with each of said plurality of operating units and at least one collateral identifier ~~for~~ associated with each of said plurality of operating units;

mapping said at least one product identifier to a standardized product identifier of the entity by having the plurality of operating units associating product nomenclature from each of the plurality of operating units with product nomenclature of the entity;

mapping said at least one collateral identifier to a standardized collateral identifier of the entity by associating collateral nomenclature from each of the plurality of operating units with collateral nomenclature of the entity;

receiving, from each of said plurality of operating units, unit exposure data identifying an exposure of said operating unit to at least a first customer of said operating unit based on said standardized product identifier and said standardized collateral identifier; and

generating aggregated exposure information for said entity related to said at least one customer to indicate a financial exposure of the entity as related to said at least one customer across the plurality of operating units.

2. (Original) The method of claim 1, wherein said at least one product identifier includes information identifying at least one of: a unit product name; a standardized

product name; a standardized product parent; an effective date; an expiration date; and a point of contact.

3. (Original) The method of claim 1, wherein said at least one collateral identifier includes information identifying at least one of: a unit collateral name; a standardized collateral name; a standardized collateral parent; an effective date; and a point of contact.

4. (Original) The method of claim 1, wherein said at least one collateral identifier indicates that no collateral has been provided.

5. (Original) The method of claim 1, wherein said customer data includes at least one of: a customer name; a customer address; a customer industry; a credit score name; a credit score; and a credit rating.

6. (Original) The method of claim 5, further comprising:

analyzing said customer data to associate a received customer name with a legal name of said at least first customer.

7. (Original) The method of claim 6, wherein said analyzing includes:

retrieving said legal name of said at least first customer from an external data source.

8. (Original) The method of claim 4, further comprising:

analyzing said customer data to associate a received customer address with a legal name of said at least first customer.

9. (Original) The method of claim 1, wherein said unit exposure data includes at least one of: a deal identifier; a transaction identifier; information identifying a customer transaction role; a status of the transaction; a product identifier; a maturity date; information identifying a type of participation of said operating unit; an exposure amount; receivable information for said exposure amount; and a collateral identifier.

10. (Original) The method of claim 1, further comprising:

comparing said unit exposure data with at least one data standard.

11. (Original) The method of claim 10, further comprising:

rejecting said unit exposure data if said unit exposure data fails to comply with said at least one data standard.

12. (Original) The method of claim 1, further comprising:

comparing a plurality of said unit exposure data with a plurality of data standard to generate a failure number; and

accepting said unit exposure data if said failure number is less than an established threshold.

13. (Original) The method of claim 12, further comprising:

adjusting said established threshold before said comparing.

14. (Original) The method of claim 1, further comprising:

presenting said aggregated exposure information in a first format for review.

15. (Original) The method of claim 14, further comprising:

receiving a request to present said aggregated exposure information in a second format for review; and

presenting said aggregated exposure information in said second format.

16. (Original) The method of claim 1, wherein said aggregated exposure information is aggregated by at least one of: operating unit; customer; collateral; exposure amount; product; and geographical area.

17. (Original) The method of claim 1, further comprising:

establishing at least one exposure threshold amount.

18. (Original) The method of claim 17, wherein said at least one exposure threshold amount is established for at least one of: a product; a collateral; a customer;

an operating unit; a geographical area; a group of products; and a group of operating units.

19. (Original) The method of claim 17, further comprising:

presenting said aggregated exposure information in a first format for review; and

indicating said at least one exposure threshold amount in said first format.

20. (Original) The method of claim 1, further comprising:

receiving a request to present said aggregated exposure information in a first format for review;

performing at least one data analysis on said aggregated exposure information; and

presenting said aggregated exposure information in said first format for review.

21. (Canceled)

22. (Currently Amended) A computer readable medium having program instruction thereon for monitoring financial exposure in an entity having a plurality of operating units, computer readable medium comprising:

instructions for gathering information about each of said operating units including at least one product identifier ~~for~~ associated with each of said plurality of operating units

and at least one collateral identifier ~~for~~ associated with each of said plurality of operating units;

instructions for mapping said at least one product identifier to a standardized product identifier of the entity ~~by~~ having the plurality of operating units associating product nomenclature from each of the plurality of operating units with product nomenclature of the entity;

instructions for mapping said at least one collateral identifier to a standardized collateral identifier of the entity by associating collateral nomenclature from each of the plurality of operating units with collateral nomenclature of the entity;

instructions for receiving, from each of said plurality of operating units, unit exposure data identifying an exposure of said operating unit to at least a first customer of said operating unit based on said standardized product identifier and said standardized collateral identifier; and

instructions for generating aggregated exposure information for said entity related to said at least first customer to indicate a financial exposure of the entity as related to said at least first customer across the plurality of operating units.

23. (Canceled)

24. (Currently Amended)            A method for submitting financial exposure data from an operating unit of an entity having a plurality of operating units, comprising:

generating, at said operating unit, information about said operating unit including at least one product and at least one collateral item associated with said operating unit;

mapping said at least one product to a standardized product identifier of associated with the entity by associating product nomenclature from each of the plurality of operating units with collateral nomenclature of the entity;

mapping said at least one collateral item to a standardized collateral identifier of the entity by associating collateral nomenclature from each of the plurality of operating units with collateral nomenclature of the entity identifier;

periodically generating unit exposure data for said operating unit, said unit exposure data identifying financial exposures of said operating unit to at least a first customer based on at least one standardized product identifier and at least one standardized collateral identifier;

submitting said unit exposure data for approval; and

receiving an approval of said unit exposure data if said unit exposure data satisfies at least one data quality standard.